



CORRESPONDENT & WHOLESALE BROKER COMMUNICATIONS

Company Name _____

Address _____

City, State, Zip Code _____

Dear Valued Lending Partner:

Our records indicate that it is time for Axos Bank to process your annual lending partner recertification. As part of the process, it is imperative that you please 1) inform us of either of the following events, and 2) submit the items listed below.

Yes No Has ownership structure changed by more than 25% per individual owner?

Yes No Have there been any material changes to the financial condition of your organization?

Items for submission:

- Current year tax returns or audited financial statement
- Current Unaudited Financial Statement - Balance sheet and P&L
- Current E&O Insurance and Fidelity Bond (Correspondent)
- Performance review/report cards from current investors (Correspondent)
- Current information if company has any outstanding lawsuits and/or judgments

Additionally, Axos Bank recently updated its (i) Correspondent Application and Agreement; (ii) Correspondent Guide; (iii) Wholesale Broker Application and Agreement; and (iv) Wholesale Broker Guide (collectively, the "Revised Governing Documents"), which documents are posted on Axos Bank's website. Notwithstanding anything to the contrary in the Correspondent Agreement or the Wholesale Broker Agreement that was originally executed by you, to extent there are any inconsistencies between the provisions of that document and the Revised Governing Documents, acknowledges and agrees that the Revised Governing Documents shall control.

I attest that the above information is true and correct.

Signature: _____

Print Name: _____

Date: _____

Title: _____

When complete please print, sign and return the recertification information and additional items to: clientrelations@axosbank.com

Axos Bank appreciates your business, and we look forward to a continued prosperous relationship.

Respectfully,
Third Party Client Relations

