



How do I sell stocks or ETFs?

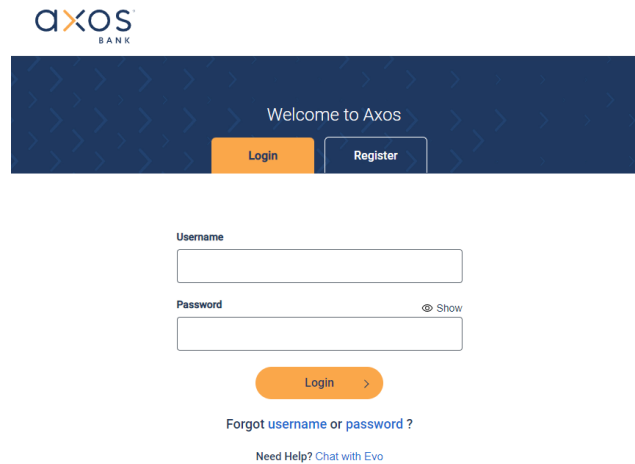
How to sell stocks or ETFs with Axos Invest - Desktop

*Indicates feature(s) available with Axos Elite Subscription: Axos Elite boosts your investment capabilities. Membership provides an advanced variety of investment instruments and enhanced personalization.

- › Real-time market data and premium TipRanks™ research
- › Extended trading hours
- › Margin trading
- › Discounted rates and fees

[Learn more about Axos Elite and how to enroll here](#)

1. Log into your [Axos Bank](#) account on desktop or through [AxosFundFinder.com](#) and navigate to your **Self-Directed Trading Account** within the My Dashboard **Account Overview** tile.



2. Click the **Trade Securities** link in the Self-Directed Trading Account Overview Tab.

Symbol	Description	Quantity / Price	Market Value	Day Change	Cost Basis	Gain/Loss	% of Account
CRCT	CRICUT INC	1 / \$9.20	\$9.20	+\$0.09 (+0.99%)	\$23.72	-\$14.52 (-61.21%)	5.04%



How to sell stocks or ETFs with Axos Invest - Desktop

3. Click **Trading** in the top menu

The screenshot shows the Axos Invest desktop interface. The top navigation bar includes 'Home', 'My Account', 'Trading', 'Orders', 'Research', and 'My Menu'. The 'Trading' menu is highlighted. The main content area displays account balance, portfolio overview, and market data.

4. Select **Sell** from the **Action** drop-down menu

The screenshot shows the 'Trade Equities' form in the Axos Invest desktop interface. The 'Action' dropdown menu is open, and the 'Sell' option is selected. The form includes fields for Symbol, Quantity, Type, Limit price, Market session, Duration, Fill type, and Order value.

5. Input the stock or ETF **Symbol** you wish to sell in your portfolio (i.e., Apple = AAPL)

The screenshot shows the 'Trade Equities' form in the Axos Invest desktop interface. The 'Symbol' field is filled with 'SYMB'. The 'Action' dropdown menu is now set to 'Sell'. The form includes fields for Quantity, Type, Limit price, Market session, Duration, Fill type, and Order value.



How to sell stocks or ETFs with Axos Invest - Desktop

- Click the green **Info** button to view the current NBBO quote

Equities

Options

Mutual funds

Earthstone Energy Inc

ESTE 16.39

Ch 0.27 (1.67%)

B 16.38 **A** 16.40

Hi 16.62 **Lo** 16.20

Portfolio

Value \$ 149.32

Realized P&L (6.82)

Unrealized P&L (35.27)

Quick quotes clear

Your accounts

Trade Equities

Trading with account

Action: Sell

Symbol: SYMB **Info**

Quantity: [] []

Type: Limit

Limit price: [] []

Market session: Market hours (9:30am)

Duration: Day GTC

Fill type: ANY AON

Order value: []

Continue >

- Enter the number of shares you want to sell

axos INVEST

Account: Trading limit: \$ 32.08 Cash: \$ 34.80

Support Messages Settings Back to My Dashboard

Home My Account Trading Orders Research My Menu

Enter symbol or com Find

Equities

Options

Mutual funds

Earthstone Energy Inc

ESTE 16.40

Ch 0.28 (1.74%)

B 16.39 **A** 16.40

Hi 16.62 **Lo** 16.20

Portfolio

Value \$ 149.32

Realized P&L (6.82)

Unrealized P&L (35.27)

Quick quotes clear

Your accounts

42090241 ALEXANDRA DAVES

Trade Equities

Trading with account

Action: Sell

Symbol: SYMB **Info**

Quantity: 1 [] []

Type: Limit

Limit price: [] []

Market session: Market hours (9:30am)

Duration: Day GTC

Fill type: ANY AON

Order value: []

Continue >

Search



How to sell stocks or ETFs with Axos Invest - Desktop

- Select the order **Type** you would like to place if you do not want to use the default selection and fill out the related fields specific to your selected order type

Order Types:

Limit: A Limit order buys a stock at (or below) a specific price you target, or sells a stock at (or above) a price you target—and it only executes if you get your price or better.

Market: A Market order will be executed immediately at the best price currently available on the market

Stop: A Stop order sells a security if its price falls below a specified point and is used to limit (i.e., "stop") losses or lock in profits.

Stop Limit: A Stop Limit order will be executed at a specified price, or better after a given stop price has been reached.

The screenshot shows the 'Trade Equities' form in the Axos Invest desktop application. The 'Action' is set to 'Sell', the 'Symbol' is 'SYMB', and the 'Quantity' is '1'. The 'Type' dropdown menu is open, showing options: Market (selected), Limit, Stop, and Stop Limit. The 'Market session' is set to 'Day', and the 'Fill type' is 'ANY'. The 'Order value' is '16.40'. The 'Continue' button is visible at the bottom right of the form.

- Select your desired **Market Session***

*Market Session selection is an Axos Elite subscription feature

Available Market Sessions:

Pre-Market: 4:00am to 9:25am ET

Market: 9:30am to 4:00pm ET

Post-Market: 4:01PM to 7:00pm ET

The screenshot shows the 'Trade Equities' form in the Axos Invest desktop application. The 'Action' is set to 'Sell', the 'Symbol' is 'SYMB', and the 'Quantity' is '1'. The 'Type' is set to 'Market'. The 'Market session' dropdown menu is open, showing options: Market hours (9:30am) (selected), Pre-market (4am - 9:25am EST), Post-market (4pm - 8pm EST), and Post-market (4pm - 8pm EST). The 'Duration' is set to 'Day', and the 'Fill type' is 'ANY'. The 'Order value' is '16.40'. The 'Continue' button is visible at the bottom right of the form.



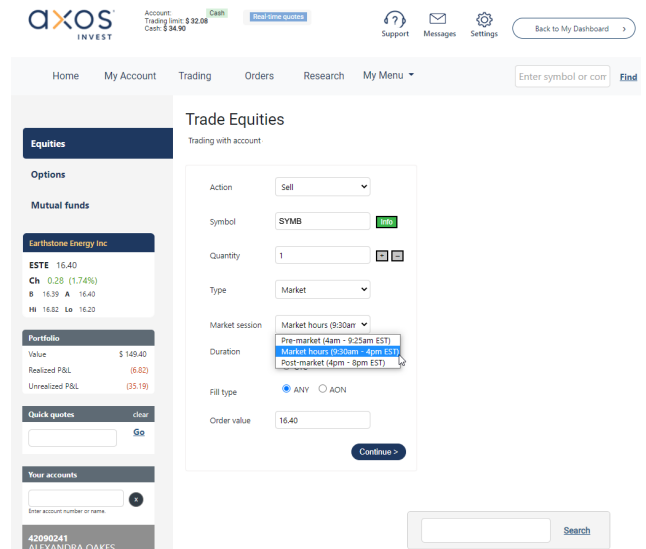
How to sell stocks or ETFs with Axos Invest - Desktop

10. Select your desired order **Duration** if you do not want to use the default selection

Duration:

Day: An order will remain in effect for one day, or until it is fully executed or canceled.

GTC: An order will remain in effect indefinitely, or until it is fully executed or canceled.

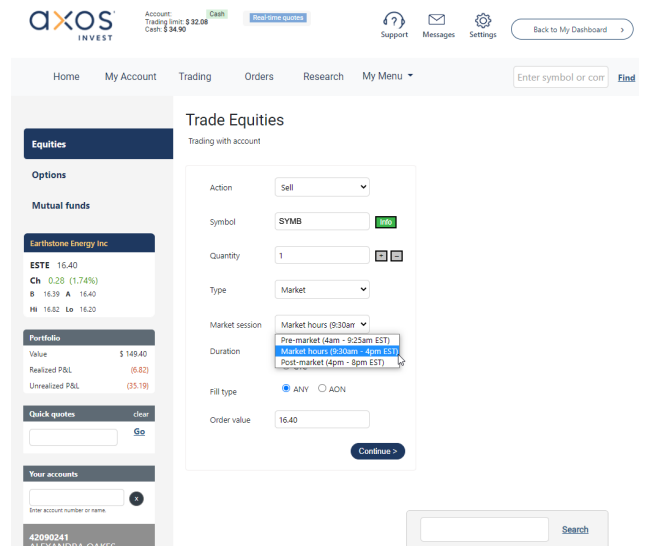


11. Select your desired **Fill Type** if you do not want to use the default selection

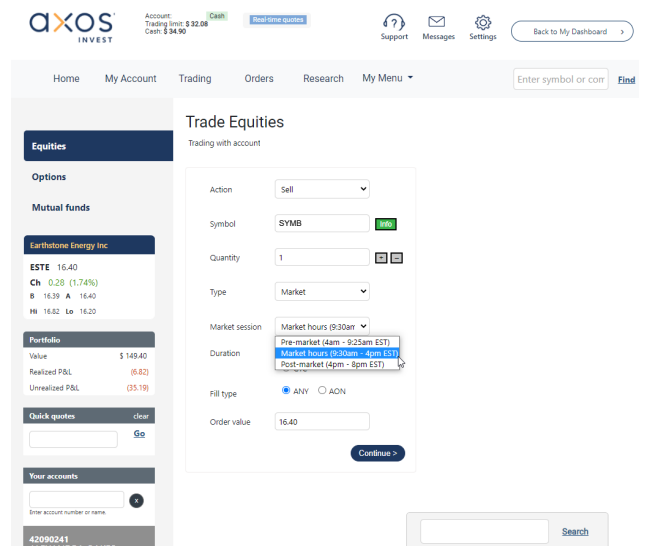
Fill Types:

ANY: Any orders may partially buy or partially sell available shares specified in a single transaction. There may be remaining shares on the order until it is fully executed or canceled.

AON: All-or-None orders must buy or sell the full quantity of shares specified in a single transaction, or it won't execute at all.



12. Review the estimated **Order value** that will calculate based on your selections in the previous steps





How to sell stocks or ETFs with Axos Invest - Desktop

13. Click **Continue** to review your order

14. Review your order and confirm that all the details are correct. If you would like to edit your order, click **< Make Corrections**

15. Click **I confirm this order >** to submit your order



How to sell stocks or ETFs with Axos Invest - Desktop

16. The trade is now complete, and you will see your order confirmation

The screenshot displays the Axos Invest desktop interface. At the top, the user's account information is visible: Account: \$32.06, Cash: \$91.38, and a 'Real time quotes' button. Navigation links for Support, Messages, and Settings are also present. The main navigation bar includes Home, My Account, Trading, Orders, Research, and My Menu. A search bar is located on the right side of the navigation bar.

The central focus is the 'Order confirmation' page for order number AX10225572. A blue notification box at the top of the confirmation area states: 'Your order has been successfully submitted. Confirmation number is AX10225572.' Below this, the order details are listed:

Account	00000000
Symbol	SYMB
Last price	16.43
Quantity	1
Action	Sell
Type	Market
Expected price	16.43
Value	16.43
Duration	Day
Fill type	ANY
Status	E: Order filled
Fill price	16.43
Shares filled	1
Fill value	16.43

At the bottom of the confirmation area, there are tabs for 'Portfolio', 'Today's orders', and 'Open orders'. A search bar is located at the bottom right of the page.



How to sell stocks or ETFs with Axos Invest - Desktop

© 2022 Axos Financial, Inc., All Rights Reserved. © 2022 Axos Bank, All Rights Reserved. © 2022 Axos Invest LLC. Member FINRA & SIPC. All Rights Reserved. © 2022 Axos Invest, Inc. All Rights Reserved.

Securities and other non-deposit investment products and services are not deposits, obligations of or guaranteed by Axos Bank, are not insured by the FDIC or any governmental agency, and are subject to investment risk including possible loss of the principal invested. Past performance of a security does not guarantee future results or success.

All investments involve risks, including the loss of principal invested. Past performance of a security does not guarantee future results or success.

Axos Invest LLC and its affiliates do not provide tax, legal or accounting advice. This material has been prepared for informational purposes only, and is not intended to provide, and should not be relied on for, tax, legal or accounting advice. You should consult your own tax, legal and accounting advisors before engaging in any transaction.

A mutual fund is not FDIC-insured, may lose value, and is not guaranteed by a bank or other financial institution.

Performance quoted represents past performance, is no guarantee of future results, and may not provide an adequate basis for evaluating the performance of the product over varying market conditions or economic cycles. Current performance may be lower or higher than the performance data quoted. The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost.

No-transaction-fee funds and other funds offered through Axos Invest have other fees and expenses that apply to a continued investment in the fund and are described in the prospectus.

Mutual funds, closed-end funds and exchange-traded funds are subject to market, exchange rate, political, credit, interest rate, and prepayment risks, which vary depending on the type of fund. International investments involve special risks, including currency fluctuations and political and economic instability. Asset allocation and diversification do not eliminate the risk of experiencing investment losses. Fund purchases may be subject to investment minimums, eligibility and other restrictions, as well as charges and expenses.

Research and planning tools are obtained by unaffiliated third-party sources deemed reliable by Axos Invest. However, Axos Invest does not guarantee their accuracy and completeness and makes no warranties with respect to results to be obtained from their use. The reports are for informational purposes only.

ETFs are subject to risk similar to those of their underlying securities, including, but not limited to, market, investment, sector, or industry risks, and those regarding short-selling and margin account maintenance. Some ETFs may involve international risk, currency risk, commodity risk, leverage risk, credit risk, and interest rate risk. Performance may be affected by risks associated with non-diversification, including investments in specific countries or sectors.

Additional risks may also include, but are not limited to, investments in foreign securities, especially emerging markets, real estate investment trusts (REITs), fixed income, small-capitalization securities, and commodities. Each individual investor should consider these risks carefully before investing in a particular security or strategy. Investment returns will fluctuate and are subject to market volatility, so that an investor's shares, when redeemed or sold, may be worth more or less than their original cost. Unlike mutual funds, shares of ETFs are not individually redeemable directly with the ETF. Shares are bought and sold at market price, which may be higher or lower than the net asset value (NAV).

Before you invest in an ETF or send money, you should read the prospectus of the ETF carefully and consider the investment objectives, risks, charges, and expenses for the ETF. You can receive a prospectus for each ETF by sending a secure message on our online platform.

Particular ETFs may not be appropriate investments for all investors, and there may be other ETFs or investment options available through Axos Invest LLC that are more suitable.