

## Axos Invest Managed Portfolios Management Fee

Annual Basic Portfolio Management Fee	0.24%
Trading Fees	free
Rebalancing Fees	free

## Axos Invest Self Directed Trading Platform

Mutual Fund Trade	free
Exchange Traded Funds	free
Equities	free
Options	\$1.00 per contract (Standard) .80 per contract ( Elite)

## Reorg/Dividends/Transfers

ACAT and Non-ACAT Transfer Out	\$100 per account
Free Deliveries DTC	\$27 per security
Mandatory Reorg Corp Actions	\$27 per account
Voluntary Tender/ Exchange Offer / Bond Puts	\$37 per election
Axos Clearing Assisted Dividend Posting (Asset not held at Axos Clearing)	\$17 per item
Cash Dividend outgoing check	\$12 per check

## Check Processing

Stop Payments	\$27 per stop
Returned Checks	\$45 per check
Photocopies of Checks	\$6 per check
Prepayments of Unsettled Funds	\$35 per item plus interest
Check Writing Stop Pays	\$30 per check
Returned ACH Items	\$30



## Qualified Plan Accounts (IRA, 401(k), SEP, ROTH etc.)

Transfers (including transfer of Roth to/from Traditional IRA)	\$80 per transfer
Annual Custodial Fee	\$60 per plan
Termination Fee	\$60 per plan

## Transfer on Death (TOD) Registration

TOD Application Fee	\$65 per account setup
TOD Change	\$65 revocation Per account change
TOD Distributions	\$225

## Restricted Stock

Clearance of Transfer of Restricted Stock	\$125 plus out of pocket costs
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## Physical Security Processing

Certificate Request DTC	\$1,200 per certificate
Rush Withdrawal DTC	\$175 additional
Physical Settlements	\$125 plus cost
Rejected Deposits DTC or Agent	\$200 per certificate
NY Window / Physical Delivery	\$55 per security



## Transfer Agent Charges

As incurred by the clearing broker passed through to the client.

### Wire Transfers

Domestic	\$35 per wire
International	\$80 per wire
Wire Research Requests/ Service Messages	\$55 per request

### Overnight Delivery

Domestic	\$45 per delivery
International	\$125 per delivery, additional fees may apply

### Foreign Securities

ADR Fee	.01 plus cost per transaction
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