Deepening client relationships

Comprehensive retirement solutions

As a successful RIA firm, one of the keys to continued growth is the ability to broaden and deepen client relationships. Axos Advisor Services offers access to an array of bundled and unbundled, easy-to-administer retirement programs that help your business diversify revenue streams and attract and retain profitable clients.

A full range of retirement plan offerings

We provide integrated access to a suite of defined benefit and defined contribution retirement plans. Take advantage of our state-of-the-art technology and an open investment platform featuring a broad selection of mutual funds and ETFs with no proprietary funds.

Plan prospecting and benchmarking tools

In the ADVISORLAB™, you can quickly search for prospective retirement plans, generate plan diagnostic reports and proposals, and compare fees and expenses.

Prospecting
The Plan Finder offers unlimited search capabilities of more than 1 million plans nationwide, using 40+ custom criteria.

Benchmarking
The Retirement Plan Diagnostic uses Form 5500 data to deliver an objective analysis of plan health in a format that's easy to understand and communicate.

Comparison
The Retirement Plan Efficiency Analysis generates a side-by-side comparison of current vs. proposed plans and presents opportunities in a concise, co-branded format.

Proposal
Written to explain the value of your services, the self-service proposal system is easy, quick and intuitive.

Model management and reporting tools

Model management tools from Axos Advisor Services give you easy access to low-cost investment solutions for plan participants. Enjoy the flexibility to build turnkey designated investment alternatives (DIAs) and trade globally across all accounts.

Model allocations
Add investments in an existing model.

Model builder
Update descriptions and risk profiles.

Model performance
Update performance or have models calculated automatically.

Model realignment
Easily rebalance or realign existing models.

3rd party reporting
To compliance and wealth management systems including:

- Envestnet
- Orion
- DCIO
- Fi360
- Albridge
- NSCC

Interactive plan education and enrollment support

Increasing plan participation is a goal we all share. Axos Advisor Services is proud to offer robust education and enrollment services for plan participants, including interactive financial wellness and retirement readiness tools.

Dynamically generated enrollment book
Guides participants to start saving and fulfills initial and annual disclosure requirements.

Exclusive Wealth Studio feature
Provides a variety of two- to seven-minute education modules, designed for busy, on-the-go plan participants.

Quarterly retirement savings snapshot
Encourages participants to save more and demonstrates the impact of increased savings.

Plan sponsor support and tools

In an environment where the ease of doing business is challenged by an ever-changing industry, a wide variety of tools are available through Axos Advisor Services that make it significantly easier for plan sponsors to administer their plans.

- 180° and 360° payroll integration
- Paperless transactions
- Online year-end data submission process
- 24-hour participant call center with multilingual representatives
- Annual Plan review and benchmarking report
- 3(16) fiduciary services
- Directed trustee services

Choose from the following CEFEX and SSAE 16 Type 2 certified retirement plans:

- 401(k)
- Profit sharing
- ERISA 403(b)
- Non-ERISA 403(b)
- 457(b)
- 457(f)
- Non-qualified deferred compensation
- Money purchase
- Cash balance
- Defined benefit

Comprehensive fiduciary support services

We provide comprehensive support services to assist your retirement plan clients in meeting their fiduciary responsibilities, including the preparation and delivery of all IRS- and DOL-required participant notices.
For RMD, QDIA, 404(a)(5), 402(g), 415, SAR, SPD, and corrective distributions, plan sponsors can choose whether to distribute notices themselves or have notices distributed for them. Ongoing participant eligibility tracking is available online to help meet fiduciary responsibilities.

Learn more about what we can do for your firm

Discover all the benefits and solutions Axos Advisor Services can provide. For more information, email **sales@axosadvisorservices.com,** call **866-776-0218** or visit **axosadvisorservices.com.**

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