

Interested Party Authorization



Account Owner(s) may authorize an interested party access to brokerage account statements for its account(s) by completing the information below.

SECTION 1: INTERESTED PARTY INFORMATION

Add an Interested Party Remove an Interested Party

_____ Interested Party Name		_____ Relationship to Account Owner(s)
_____ Interested Party Email (optional)		_____ Phone Number
_____ Address		
_____ City	_____ State	_____ ZIP Code

SECTION 2: DOCUMENT DELIVERY INSTRUCTIONS

Indicate the type(s) of documents you would like to be delivered to this Interested Party.

Brokerage Statements Tax Documents

SECTION 3: IDENTIFICATION OF ACCOUNTS

List all account numbers for which you authorize this interested party to obtain duplicate brokerage statements and/or trade confirmations.

_____ Account Title	_____ Account Number
_____ Account Title	_____ Account Number
_____ Account Title	_____ Account Number
_____ Account Title	_____ Account Number

Please note, once the interested party has been added, they will continue to receive duplicate statements until revoked by the account holder with an updated request form to remove the interested party access.

SECTION 4: SIGNATURE(S)

By signing below, the Account Owner(s) authorize the Introducing Broker Dealer ("IBD") and/or Axos Advisor Services to send to the interested party named above, account information as described in Step 2 of this form. I(we) understand and acknowledge that this information may include account information that is confidential and privileged.

I (we) understand that the IBD and/or Axos Advisor Services does not attempt to verify the address I have provided, and therefore the IBD and/or Axos Advisor Services will not be responsible for non-submission of information due to an inaccurate address.

All Account Owners and Authorized Agent must sign.

_____ Account Owner Signature	_____ Print Name	_____ Date
_____ Account Co-Owner Signature	_____ Print Name	_____ Date

Original signature required: electronic signatures and/or signature fonts are not authorized.

_____ Axos Principal Signature	_____ Print Name	_____ Date
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